

ED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Dennis S. Everhart et al.

Docket No.:

Serial No .:

10/027,267

Group No.:

3761

Confirmation No.: 3116

Examiner:

Unknown

Filed:

December 21, 2001

For:

THERAPEUTIC AGENT DELIVERY LABIAL PAD

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that the attached correspondence comprising:

Information Disclosure Statement Pursuant to 37 C.F.R. 1.97(b) Before First Office Action or Within Three Months of Filing Date 2 Page(s) of PTO Form 1449 26 Document(s)

TC 3700 MAIL ROOM

is being deposited with the United States Postal Service as first-class mail in an envelope addressed to:

Assistant Commissioner for Patents Washington, D.C. 20231

on: August 29, 2002

Nanette A. Dotts

(Type or print name of person mailing paper)

(Certificate of Mailing Under 37 C.F.R. 1.8(a) [8-21])



Applicant:

Dennis S. Everhart et al.

Docket No.: 16,540

Serial No.:

10/027,267

Group No.:

3761

Confirmation No.: 3116

Examiner:

Unknown 63

Filed:

December 21, 2001

Date:

August 29,52

For:

THERAPEUTIC AGENT DELIVERY LABIAL PAD

Information Disclosure Statement Pursuant to 37 C.F.R. 1.97(b) Before First Office Action or Within Three Months of Filing Date

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. 1.56 and in accordance with 37 C.F.R. 1.97 et seq., Applicants, through and by their attorneys, hereby wish to direct the Examiner's attention to the documents listed on the attached modified PTO Form 1449 and the copies of those documents presented herewith for the Examiner's review and convenience.

Applicants also wish to bring the following commonly-assigned pending patent applications to the attention of the Examiner:

U.S. Patent Application Serial No. 10/037,276 filed December 31, 2001,

by H. A. Sorebo et al. for "LABIAL PAD."

U.S. Patent Application Serial No. 10/038,971 filed December 31, 2001,

by D. R. Kathumbi et al. for "LABIAL PAD."

These applications are not listed on the accompanying PTO Form 1449; however, copies of these applications are provided herewith.

By inclusion of any given document in this Information Disclosure Statement, Applicants in no way admit that such document is effective as prior art against the above-identified application under either 35 U.S.C. 102 or 35 U.S.C. 103.

Additionally, submission of any document is not to be taken as an admission of the materiality of the document to the prosecution of the present application.

Serial No.: 10/027,267

The Examiner is requested to review each cited document and personally determine its prior art status.

Applicants recommend that the Examiner conduct an independent search for any and all available material prior art and independently review the results of such search and the documents cited within this Statement.

Applicants request the Examiner, in accordance with 37 C.F.R. 1.97, to indicate and make of record receipt and review of all of these documents by initialing the appropriate box in the accompanying PTO-1449. Applicants request that the undersigned receive a copy of the initialed PTO-1449.

If the Examiner refuses to consider any or all of the herein submitted materials because it is the Examiner's opinion that this Information Disclosure Statement is not in compliance with 37 C.F.R. 1.97, Applicants respectfully request that the Examiner notify the undersigned, in writing, as to the basis of such opinion.

Please charge any prosecutional fees which are due to Kimberly-Clark Worldwide, Inc. deposit account number 11-0875.

The undersigned may be reached at: 920-721-8863.

Respectfully submitted,

DENNIS S. EVERHART ET AL.

Randall W. Fieldhack

Registration No.: 43,611



Applicant(s):

Dennis Everhart et al.

Docket No.: 16,540

Serial No.:

10/027,267

Group:

3761

Filed:

December 21, 2001

Examiner:

Unknown

U.S. Patent Documents											
Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date				
, ,	A1	3,338,992	08/1967	Kinney		-					
	A2	3,341,394	09/1967	Kinney							
	A3	3,502,538	03/1970	Petersen							
	A4	3,502,763	03/1970	Hartmann							
	A5	3,542,615	11/1970	Dobo et al.		'					
	A6	3,692,618	09/1972	Dorschner et al.							
	A7	3,802,817	04/1974	Matsuki et al.							
•	A8	3,849,241	11/1974	Butin et al.							
	A9	4,340,563	07/1982	Appel et al.							
	A10	4,488,928	12/1984	Ali Khan et al.	,						
	A11	4,510,020	04/1985	Green et al.		•					
	A12	4,846,824	07/1989	Lassen et al.							
	A13	4,983,392	01/1991	Robinson		4					
	A14	5,096,539	03/1992	Allan		TC 3	, , , ,				
	A15	5,192,802	03/1993	Rencher		76	SH PP				
	A16	5,540,979	07/1996	Yahiaoui et al.		0	70				
	A17	5,652,194	07/1997	Dyer et al.		MA	6 1				
	A18	5,990,377	11/1999	Chen et al.		-	VED 2002				
	A19	6,086,909	07/2000	Harrison et al.		₹0	3 0				
	A20		07/2001	Chen et al.		3					
	A21										
	A22	· ,				1	-				
•	A23										
	A24			,							
	A25						,				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with your next communication.

Examiner:

Date Considered:

Form PTO-1449 (Modified)



Applicant(s):

Dennis Evernart et al.

Docket No.: 16,540

Serial No.:

10/027,267

Group:

3761

Filed:

December 21, 2001

Examiner:

Unknown

			Fore	eign Patent Document	:s						
						Subclass	Trans.				
Initials		Doc. No.	Date	Country	Class		Yes	No			
	A1										
	A2										
	A3			4							
	A4	, and the second									
	A5										
	A6					<u> </u>					
-	_			Other Documents		·					
Initials		Author, Title, Date, Pages, etc.									
	A1	Gray, Henry, <u>Anatomy of the Human Body</u> , Vol. II, Thirtieth American Edition, published by Lea and Febiger, 1985, pp. 1566-1586.									
	A2	Park, Haesun and Joseph R. Robinson, "Physico-Chemical Properties of Water Insoluble Polymers Important to Mucin/Epithelial Adhesion," <u>Journal of Controlled Release</u> , 2, published 1985, pp. 47-57.									
	A3	Lee, Chi-Hyun and Yie W. Chien, "Development and Evaluation of a Mucoadhesive Drug Delivery System for Dual-Controlled Delivery of Nonoxynol-9," <u>Journal of Controlled Release</u> , Vol. 39, published 1996, pp. 93-103.									
	A4	Inoue, Tadaaki, Guohua Chen and Allan S. Hoffman, "A Hydrophobically Modified Bioadhesive Polymeric Carrier for Controlled Drug Delivery to Mucosal Surfaces," <u>Journal of Bioactive and Compatible Polymers</u> , Vol. 13, Number 1, published January 1998, pp. 50-64.									
	A5					-					
	A6				· Andrews						
	A7				· · · · · ·	C					
						7	(0	Z.			
	A7 A8						SE	í			
						70) MAIL. RO	-6 2002	FIVE			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with your next communication.

Examiner:

Date Considered:

Form PTO-1449 (Modified)